

11696 U.S. PTO
10/650325
08/27/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as "the contents" herein are being deposited with the United States Postal Service on August 27, 2003 in an envelope as "Express Mail Post Office to Patent Office" service under 37 CFR §1.10, Mailing Label Number EV334982769US, addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

Aurelia Sanchez

Attorney Docket No.: NSC1P274/P05649

First Named Inventor: BAYAN

19704 U.S. PTO
10/650325
08/27/03

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:
Jaime Bayan, Askok S. Prabhu, Fred Drummond

For: **A SUBSTRATE FOR USE IN SEMICONDUCTOR MANUFACTURING METHOD OF MAKING SAME**

Assigned to:

Application Elements:

- ☒ **18** Pages of Specification, Claims and Abstract
- ☒ **6** Sheets of formal Drawings
- ☒ **3** Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☒ Do not publish this application. Non-publication Request is attached.
- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
 - ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Other:

Fee Calculation (37 CFR § 1.16)

☐ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	30	MINUS	20	= 10	x 18 =	180.00
INDEP.	4	MINUS	3	= 1	x 84 =	84.00
[] First presentation of multiple dependent claim					\$280 =	
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$750 =	750.00
TOTAL						1,014.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						

☒ Check No. 19787 in the amount of \$1,054.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. NSC1P274).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. NSC1P274).

☒ Please send correspondence to the following address:

Customer Number 022434

022434

Date: August 27, 2003

Jon Y. Ikogami

Registration No. 51,115

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bayan et al.

Attorney Docket No.: NSC1P274/P05649

Application No.: Unassigned

Examiner: Unassigned

Filed: Herewith

Group: Unassigned

Title: A SUBSTRATE FOR USE IN
SEMICONDUCTOR MANUFACTURING
METHOD OF MAKING SAME

CERTIFICATE OF EXPRESS MAILING

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Signed: _____

Aurelia Sanchez

**NONPUBLICATION REQUEST AND CERTIFICATION
(35 U.S.C. 122(b)(2)(B)(i))**

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Date: _____

9/27/03

Jon T. Ikegami
Registration No. 51,115